

Leicester  
City Council

**WARDS AFFECTED**  
**All Wards (Corporate issue)**

**FORWARD TIMETABLE OF CONSULTATION AND MEETINGS:**

<b>Cabinet</b>	<b>19 November 2001</b>
<b>Social Services &amp; Personal Health Scrutiny Committee</b>	<b>22 November 2001</b>
<b>Council</b>	<b>29 November 2001</b>

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**THE FUTURE INTEGRATION OF MENTAL HEALTH AND SOCIAL CARE FOR PEOPLE OF  
A WORKING AGE – APPROVAL IN PRINCIPLE**

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**REPORT OF THE DIRECTOR OF SOCIAL SERVICES**

**1. Purpose of Report**

- 1.1 The Cabinet has agreed a direction of travel paper for the integration of health and social care services in Leicester. The first stage of this is the development of an integrated mental health service for Leicester, Leicestershire and Rutland.
- 1.2 A report was presented to the Social Services and Personal Health Scrutiny Committee on 18<sup>th</sup> July 2001 informing Members of the completion of the first phase of the Mental Health Integration Project. The report also detailed the outcome of the option approval exercise, the future work programme and the timetable for decisions by the participating local authorities and health organisations.
- 1.3 This report informs Members about completion of the second stage of the Mental Health Integration Project and provides an update on progress with the integration work programme.

**2. Summary**

- 2.1 The second phase of the project has now been completed. A report has been produced which is being considered by the partner organisations (Appendix A) with a view to confirming the overall project plan.
- 2.2 The Phase 2 report provides an overview of Phase 1. It details the consultation process that has been followed during Phase 2 and describes the current position reached in developing a Partnership Framework.

### 3. Recommendations

On the basis of the Phase 1 work and taking account of the positive outcome of joint consultation, the following recommendations are submitted for approval/noting by Cabinet and Scrutiny Committee as appropriate:-

- a) approve entry into a Partnership Agreement under Section 31 of the Health Act 1999 using the 'Integrated Provision' flexibility to support the integration of services for working age adults provided currently by the following organisations:

Leicestershire and Rutland Healthcare Trust  
Leicester City Council  
Leicestershire County Council  
Rutland County Council;

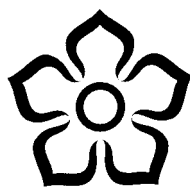
- b) support the need for a Memorandum of Agreement between the partner organisations that describes the responsibility, accountability, and liability of each party for service requirements, staffing, finance and assets.
- c) note that the negotiation and drafting of the Memorandum with related documents would have the full input of the Local Authorities' Legal and Administrative Services and the Trust Solicitor, until satisfactory conclusion of the agreement was reached between the respective parties.
- d) note the options that are under consideration for securing the involvement of Local Authority elected members and to express a view about the best way forward.
- e) agree, subject to the satisfactory completion of a written Partnership Agreement (Memorandum of Understanding) as identified in the regulations, that the intended date for the start of the Partnership is 1<sup>st</sup> April 2002.
- f) authorise the Director of Social Services (in consultation with Cabinet Lead) to conclude negotiations and approve the final terms of the Partnership Agreement.
- g) note the proposed name for the new organisation is the "Leicestershire Partnership NHS Trust", with the additional strapline "Providing mental health and learning disability services for the people of Leicester, Leicestershire and Rutland."

**4. Headline financial and legal implications**

- 4.1 There are no direct financial implications, as the current staffing and budget arrangements will continue to apply.
- 4.2 The legal implications relate to formally registering a Partnership Agreement under Section 31 of the Health Act 1999.

**5. Report author/contact**

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## **FORWARD TIMETABLE OF CONSULTATION AND MEETINGS:**

<b>Cabinet</b>	<b>19 November 2001</b>
<b>Social Services &amp; Personal Health Scrutiny Committee</b>	<b>26 November 2001</b>
<b>Council</b>	<b>29 November 2001</b>

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### **SUPPORTING INFORMATION**

1. **Appendix A** attached fits with current proposals on the integration of mental health and social care for people of a working age.
2. **Phase 2 report – Consultation Process**
  - 2.1 During the first phase of the project, eleven joint Social Services and Health staff consultation forums were held. This process was repeated during the second phase of the project.
  - 2.2 A central consideration is to ensure that proposals to integrate service provisions are capable of delivering the requirements of the National Service Framework – Mental Health. The proposals have received strong support in the consultation for Stage 3 of the National Service Framework, which is part of the Local Modernisation Review 2001.
3. **Phase 3 – Developing the Partnership Framework**
  - 3.1 The current position reached in developing a Partnership Framework is as follows:
    - a. Shared Values
  - 3.2 At the start of the project, there was an agreement that the “new” organisation should be underpinned and guided by a framework of values. This work is nearly completed, and, in an attempt to ensure ownership, a large number of stakeholders have been involved.

b. Governance Arrangements

- 3.3 The Health Act legislation does not require any particular model of accountability. It is therefore open to partner organisations to decide what form of governance best suits local needs and circumstances.
- 3.4 It is suggested that the most appropriate governance would be a Memorandum of Agreement that describes the responsibility, accountability and liability of each party for service requirements, finance and assets.
- 3.5 There is a recognition that it is essential that the “new” organisation achieves optimum practical involvement of elected members and the mechanism for assuring accountability at Cabinet/Scrutiny level is likely to be in the form of a Joint Committee.
- 3.6 The options that are under consideration are:
- An Integration Board, to oversee and monitor the performance of the integrated service and manage any issues between partners with differing accountabilities.
  - A Joint Commissioning and Integration Board to include the responsibilities of the Integration Board but also to include commissioning responsibilities.
  - Co-option to the Trust Board is also a possible approach to gaining elected member involvement.

c. Management Arrangements

- 3.7 There have been discussions within the NHS Trust and partner organisations about how the Trust might develop its management structures to support the integration of mental health services and ensure effective partnership working.
- 3.8 The discussions are ongoing and as greater clarity emerges and firm proposals are produced, there will be further consultation with partner organisations. It is expected that the majority of the Senior Management Team will be in post by 1<sup>st</sup> April 2002.

d. Leadership and Management

- 3.9 It is recognised that to manage the change and increase the understanding and competence of frontline managers in the new organisation, there is a need for a joint training programme.
- 3.10 A number of joint workshops are being arranged for February 2002 and this training will include the Social Services Mental Health Management Team.

e. Scope of the Partnership Arrangement

- 3.11 The Social Service provision that will be included in the Partnership Agreement is all the Mental Health Management Team and the staff and services that they have management responsibilities for.
- 3.12 It is expected that the total value of the Partnership Agreement for the integrated service for working age adults would include Leicester City Council's contribution.

f. Financial Framework

- 3.13 The Mental Health Integration Project Team have established a Finance Subgroup to;
- i) identify the financial issues that arise in establishing a Partnership Agreement;
  - ii) agree mechanisms that ensure all parties are able to fulfil their respective financial obligations.
- 3.14 The Finance Sub-group will all consider the extent to which resources could be reinforced during the first year 2002/3 and included with a Pooled Budget arrangement.

g. Workforce

- 3.15 The Mental Health Integration Project Team have established a Human Resources Subgroup to;
- i) develop a secondment policy for Social Services staff.
  - ii) establish a change management policy
  - iii) develop, where appropriate, joint human resources policies and procedures.

**4. Timetable**

- 4.1 Subject to the agreement to the recommendations the timetable that is suggested is as follows:

November/December 2002 -	Submit Phase 2 report to the partner organisations seeking support to develop a Partnership Agreement.
By February 2002 -	Submit Partnership Agreement notification to NHS Executive Trust/SSI Social Care Region.
April 2002 -	Arrangements for the specialist mental health and learning disabilities trust/framework for the integrated service for working age adults in place.

**5. Other Implications**

OTHER IMPLICATIONS	YES/NO	Paragraph Within Supporting information	References
Equal Opportunities	Yes	The integration proposals will be responsive to the needs of all groups and communities	
Policy	Yes	All paragraphs	
Sustainable and Environmental	No		
Crime and Disorder	No		
Human Rights Act		The integration proposals support the principle of respecting the rights of individuals and the community at large.	

**6. Background Papers – Local Government Act 1972**

Mental Health Integration Phase 2 Report (appended).

The Future Integration of Mental Health and Social Care for People of a Working Age - Report for Social Services and Personal Health Scrutiny Committee – 18<sup>th</sup> July 2001.

**7. Consultations**

None.

**8. Report author/contact**

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